# Collision attacks on small KECCAK 

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## Keccak hash functions

- Keccak is a hash function designed by Guido Bertoni, Joan Daemen, Michaël Peeters and Gilles Van Assche
- In 2012, four KECCAK instances are standardised as SHA-3
- Permutation-based mode of operation : the sponge construction
- Underlying permutation: Keccak- $f[b], b$ state length in bits
- Standardised instances : $b=1600$
- Instances of interest here : "Small" Keccak $b=200$ or $b=400$


## Motivation for the analysis of small КЕссак

- Crunchy contest : cryptanalysis challenges on round-reduced Keccak instances
"Remarkably, the smaller versions are harder to break"
- Small Keccak hash functions used in a proposal for RFID [KY10]


## Motivation for the analysis of small KECCAK

| Function | Rounds | Complexity (Time) |
| :---: | :---: | :--- |
| SHA3-224 | 2 | Practical [NRM11] [HMRS12] |
|  | 4 | Practical [DDS12] |
|  | 5 | Practical [GLLQS19] |
|  | 2 | Practical [NRM11] |
| SHA3-384 | 4 | Practical [DDS12] |
|  | 5 | $2^{115}$ [DDS13] |
|  | 3 | Practical [GLLQS19] |
|  | 3 | $2^{147}$ [DDS13] 260 [HABYDM22] |
|  | 1 | Practical [DDS13] |

## Summary of our results

| Parameters | $b=200$ | $b=200$ | $b=400$ |
| :---: | :---: | :---: | :---: |
| $\left(n_{r}=2\right)$ | $\mathrm{c}=160$ | $\mathrm{c}=128$ | $\mathrm{c}=256$ |
| Generic security | $2^{80}$ | $2^{64}$ | $2^{128}$ |
| Time complexity of our attack | $2^{73}$ | $2^{53}$ | $2^{102}$ |

## Implementation \& verification:

- Attack implemented and verified in C on toy versions ( $b=100$ )
- Practical complexities match the theory


## Plan

## (1) The sponge construction

## (2) The KECCAK-f permutation

(3) Generating inner collisions
(4) An attack example

## The sponge construction



- Permutation $f$ is applied to a state of length $b=r+c$, where $c$ is the capacity and $r$ is the bitrate.
- outer state
- inner state
- $d$ is the ouput length


## Generic collision attacks on the sponge mode

 Output collisions

- Standardised instances:
- $d<r$
- $c=2 d$
- Small instances
- $d>r$ : output collision requires several outer state collisions
- Instead : inner (state) collisions
- Since $d=c$, same generic security as output collisions


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## Inner collision attack on small sponges



- Despite $r<d$, the collision propagates to every output


## General description of the attack

(1) Generate and absorb a random long message to obtain a random inner state $S$
(2) Given $S$, exploit the properties of $f$ to find a message block $M$ such that the inner state of $f(M \| S)$ belongs to a proper subset of $\mathbb{F}_{2}^{c}$
(3) Find collisions using the birthday paradox


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## The KECCAK- $f$ permutation

KECCAK- $f[b]$ operates on a state of length $b=25 \times \omega$ where

$$
\omega \in\{8,16,32,64\}
$$



Figure: Keccak state for $\omega=8$

- A round of KEccak- $f[\mathrm{~b}]: R=\iota \circ \chi \circ \pi \circ \rho \circ \theta$
- We study a round-reduced version with $f=R^{2}$


## Permutation $\theta$



Source : https://keccak.team/figures.html

## Permutation $\rho$



Source : https://keccak.team/figures.html

## Permutation $\pi$



Source: https://keccak.team/figures.html

## Permutation $\chi$

$$
\begin{aligned}
b_{0} & =a_{0}+\left(a_{1}+1\right) \times a_{2} \\
b_{1} & =a_{1}+\left(a_{2}+1\right) \times a_{3} \\
b_{2} & =a_{2}+\left(a_{3}+1\right) \times a_{4} \\
b_{3} & =a_{3}+\left(a_{4}+1\right) \times a_{0} \\
b_{4} & =a_{4}+\left(a_{0}+1\right) \times a_{1}
\end{aligned}
$$



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## Back to inner collisions




Keccak state with $r=40$, $c=160$. In blue, the inner state

## Back to inner collisions

We wish to find a solution to a system of $c$ equations of the form:

$$
\left\{\begin{array}{l}
f_{0}\left(m_{0}, \ldots, m_{r-1}, s_{0}, \ldots, s_{c-1}\right)=f_{0}\left(m_{0}^{\prime}, \ldots, m_{r-1}^{\prime}, s_{0}^{\prime}, \ldots, s_{c-1}^{\prime}\right) \\
f_{1}\left(m_{0}, \ldots, m_{r-1}, s_{0}, \ldots, s_{c-1}\right)=f_{1}\left(m_{0}^{\prime}, \ldots, m_{r-1}^{\prime}, s_{0}^{\prime}, \ldots, s_{c-1}^{\prime}\right) \\
\ldots \\
f_{c-1}\left(m_{0}, \ldots, m_{r-1}, s_{0}, \ldots, s_{c-1}\right)=f_{c-1}\left(m_{0}^{\prime}, \ldots, m_{r-1}^{\prime}, s_{0}^{\prime}, \ldots, s_{c-1}^{\prime}\right)
\end{array}\right.
$$

For KECCAK-f reduced to two rounds, the equations have degree 4.

## Back to inner collisions




Keccak state with $r=40$, $c=160$. In blue, the inner state

## Two rounds of KECCAK-f



2 rounds of KECCAK- $f$

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2 rounds of KECcak- $f$

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## $\theta$ property

Let $a=\left(a_{0}, \ldots, a_{4}\right)$ be a column at the input of $\theta$, let $b=\left(b_{0}, \ldots, b_{4}\right)$ be the same column at the output of $\theta$.

For any $0 \leq i, j<5$,

$$
\begin{aligned}
b_{i} & =a_{i}+c \\
b_{j} & =a_{j}+c
\end{aligned}
$$

and thus

$$
b_{i}+b_{j}=a_{i}+a_{j}
$$



Source : https://keccak.team/figures.html

## Exploiting $\theta$ 's property

$$
\left\{\begin{array} { l } 
{ b _ { 1 } = b _ { 1 } ^ { \prime } } \\
{ b _ { 2 } = b _ { 2 } ^ { \prime } } \\
{ b _ { 3 } = b _ { 3 } ^ { \prime } } \\
{ b _ { 4 } = b _ { 4 } ^ { \prime } }
\end{array} \Leftrightarrow \left\{\begin{array}{l}
b_{1}=b_{1}^{\prime} \\
b_{1}+b_{2}=b_{1}^{\prime}+b_{2}^{\prime} \\
b_{2}+b_{3}=b_{2}^{\prime}+b_{3}^{\prime} \\
b_{3}+b_{4}=b_{3}^{\prime}+b_{4}^{\prime}
\end{array}\right.\right.
$$

From $\theta$ 's property, we deduce

$$
\left\{\begin{array} { l } 
{ b _ { 1 } + b _ { 2 } = b _ { 1 } ^ { \prime } + b _ { 2 } ^ { \prime } } \\
{ b _ { 2 } + b _ { 3 } = b _ { 2 } ^ { \prime } + b _ { 3 } ^ { \prime } } \\
{ b _ { 3 } + b _ { 4 } = b _ { 3 } ^ { \prime } + b _ { 4 } ^ { \prime } }
\end{array} \Leftrightarrow \left\{\begin{array}{l}
a_{1}+a_{2}=a_{1}^{\prime}+a_{2}^{\prime} \\
a_{2}+a_{3}=a_{2}^{\prime}+a_{3}^{\prime} \\
a_{3}+a_{4}=a_{3}^{\prime}+a_{4}^{\prime}
\end{array}\right.\right.
$$

which is equivalent to

$$
a_{1}+a_{1}^{\prime}=a_{2}+a_{2}^{\prime}=a_{3}+a_{3}^{\prime}=a_{4}+a_{4}^{\prime}
$$

## Property

Having a constant difference on $k$ bits of a column is equivalent to satisfying $k-1$ equations of $(\mathscr{S})$.

## Two rounds of KECCAK-f



2 rounds of KECCAK-f
If one generates a set of states that are all constant on columns, then the difference between any two of these states is also constant on columns

## $\chi$ properties

## Linearising $\chi$

## Properties

If one sets $a_{4}=0$
(1) $b_{2}$ and $b_{3}$ can be expressed linearly
(2) $b_{4}=0$ with probability $\frac{3}{4}$

$$
\begin{aligned}
& b_{2}=a_{2}+\left(a_{3}+1\right) \times a_{4} \\
& b_{3}=a_{3}+\left(a_{4}+1\right) \times a_{0} \\
& b_{4}=a_{4}+\left(a_{0}+1\right) \times a_{1}
\end{aligned}
$$



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4 An attack example

## Example of allocation strategy on a slice



Setting the blue bits to $0 \rightarrow 3$ linear equations
Ensuring constancy on the yellow bits $\rightarrow 4$ linear equations


We satisty 4 equations of $(\mathscr{S})$

With probability $\frac{5}{8}$, we satisfy an extra equation of $(\mathscr{S})$

## Example of state allocation strategy

On 5 slices, we set 3 bits to 0$\}$ We add 39
On 1 slice, we set 2 bits to 0$\}$ equations to a linear system

For any pair of state in the output set :

- 21 equations of $(\mathscr{S})$ are satisfied automatically
- 6 equations of $(\mathscr{S})$ are satisfied with probability $\left(\frac{17}{32}\right)^{6}$

The probability of inner collision is : $p=2^{21+6-c}\left(\frac{17}{32}\right)^{6}$

## Conclusion

The time complexity of our attack is $2 g \sqrt{p^{-1}} \approx 2^{70} g$
where g will be specified (roughly the "cost of finding a solution to the linear system ")

## Computing $g$

(1) The value of $g$ does not depend on the rank of the linear system.

Let $e$ be the size of $\mathscr{L}$.

- Probability of finding a solution: $2^{\operatorname{rank}(\mathscr{L})-e}$
- Number of free variables: $r-\operatorname{rank}(\mathscr{L})$
- Number of solutions obtained: $2^{r-\operatorname{rank}(\mathscr{L})}$
$\rightarrow$ On average, each Gaussian elimination provides $2^{r-e}$ solutions. Thus,

$$
g=\frac{e^{3}}{n_{o}} 2^{e-r}
$$

where $n_{o}$ is the number of logical operations in Keccak- $f$

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Application to our attack example:

$$
g=\frac{39 \times 161}{410} 2^{-1} \approx 2^{3}
$$

The time complexity is thus of $2^{70+3}=2^{73}$

## Conclusion

- Indeed, the smaller versions are hard to break
- Need for a dedicated analysis of small Keccak instances

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